



# Evolution of Whistler Turbulence in the Magnetosphere

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**Sponsored by ONR and DARPA**



**Modern Challenges in Nonlinear Plasma Physics  
Halkidiki, Greece, June 15 – 19, 2009**



# Collaborators

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## Acknowledgment

**Dennis Papadopoulos,  
for numerous stimulating discussions**



# Introduction

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- **Whistler waves are ubiquitous in the space plasma environment**
  - **Transports momentum and energy**
  - **Signatures of important space plasma processes; e.g., reconnection, lightning, plasmaspheric hiss, etc.**
- **Whistlers are also injected into the near-Earth space by man-made VLF transmitters**
- **Both natural and man-made whistlers affect the space plasma environment**
  - **Hence, important to “space weather”**
- **Need to understand the evolution of whistler turbulence in the space plasma environment accurately**
  - **Numerical simulations are necessary**
  - **Prerequisite for accurate simulation is knowledge of whistler wave properties**



# Linear Whistler Wave Properties: Homogeneous Plasma

- Whistler dispersion relation in cold plasma for  $\Omega_e \gg \omega \gg \Omega_i$  obtained by;

$$\vec{\nabla} \cdot \vec{j} / e = \vec{\nabla} \cdot n_0 \underbrace{\left( \frac{c\vec{E} \times \vec{b}}{B_0} + \frac{i\omega}{\Omega_e} \left( 1 - \frac{\omega_{LH}^2}{\omega^2} \right) \frac{c\vec{E}_\perp}{B_0} + i \frac{eE_z \vec{b}_0}{m\omega} \right)}_{\text{Relative drift } (v_i - v_e)} = 0$$

- From Maxwell equations with Coulomb gauge it can be shown that

$$E_x = -ik_x \phi \frac{(\bar{k}_z^2 + \bar{k}_\perp^2)}{\bar{k}_\perp^2},$$

*Electrostatic for  $k_\perp > k_z$*

$$E_y = E_x \frac{i\omega}{\Omega_e \bar{k}^2},$$

*Electromagnetic*

$$E_z = -ik_z \phi \frac{\bar{k}^2}{(1 + \bar{k}^2)}$$

*Electrostatic for  $k > 1$*

- For  $\omega_{pe} > \Omega_e$  the dispersion relation is,

$$\omega^2 = \left( \frac{\bar{k}_\parallel^2}{(1 + \bar{k}_\perp^2)} + \frac{m_e}{m_i} \right) \frac{\bar{k}^2 \Omega_e^2}{1 + \bar{k}^2}$$

$$\bar{k}^2 = \bar{k}_\perp^2 + \bar{k}_\parallel^2$$

$$\bar{k} = kc / \omega_{pe}$$

- Frequency in limiting cases:

– LH limit:  $\bar{k}_\perp \gg 1, k_\perp \gg k_\parallel, k_\parallel / k_\perp \ll \sqrt{m_e / m_i} \rightarrow \omega^2 = \Omega_e \Omega_i$

– Whistler limit:  $\bar{k} \ll 1, \bar{k}_\parallel^2 \gg m_e / m_i \rightarrow \omega^2 = \bar{k}_\parallel^2 \bar{k}^2 \Omega_e^2$

– Magnetosonic limit:  $\bar{k} \ll 1, \bar{k}_\parallel^2 \ll m_e / m_i \rightarrow \omega^2 = k^2 V_A^2$

**Whistlers and Lower Hybrid  
are the same wave at  
different propagation angle**



# Linear Whistler Wave Properties: Inhomogeneous Plasma

- With density inhomogeneity the (E X B) drift gives a large term
  - Inhomogeneity could be external or self-consistent

$$\vec{\nabla} \cdot \vec{j} / e = \vec{\nabla} \cdot (n_0 + \delta n(x, y)) \left( \frac{c \vec{E} \times \vec{b}}{B_0} + \frac{i \omega}{\Omega_e} \left( 1 - \frac{\omega_{LH}^2}{\omega^2} \right) \frac{c \vec{E}_\perp}{B_0} + i \frac{e E_z \vec{b}_0}{m \omega} \right) = 0$$

$$-n_0 \frac{\omega}{\Omega_e} \left( \frac{1 + \bar{k}^2}{\bar{k}^2} - \frac{\omega_{LH}^2}{\omega^2} - \frac{\Omega_e^2}{\omega^2} \frac{\bar{k}_z^2}{1 + \bar{k}^2} \right) \frac{c k_x E_x}{B_0} - \frac{c}{B_0} (\vec{E} \times \vec{\nabla} \delta n) \cdot \vec{b}_0 = 0$$

• Essentially 3 dimensional  
- Extends instability relaxation time

- Density fluctuations introduces new solution (sort of drift waves)

$$\frac{\omega}{\Omega_e} = -\frac{\nabla_y \delta n}{2n_0 k_x} \frac{\bar{k}^2}{1 + \bar{k}^2} \pm \left( \left( \frac{\nabla_y \delta n}{2n_0 k_x} \frac{\bar{k}^2}{1 + \bar{k}^2} \right)^2 + \frac{m}{M(1 + \bar{k}_x^2)} + \frac{\bar{k}_z^2 \bar{k}^2}{(1 + \bar{k}_x^2)(1 + \bar{k}^2)} \right)^{1/2}$$

$$\omega \rightarrow -\frac{\Omega_e \nabla_y \delta n}{n_0 k_x} \frac{\bar{k}^2}{1 + \bar{k}^2}$$

- Nonlinear pondermotive force along B<sub>0</sub> can lead to second order density fluctuations

$$\delta n(x, y) \propto k_{||} \equiv \partial / \partial z$$

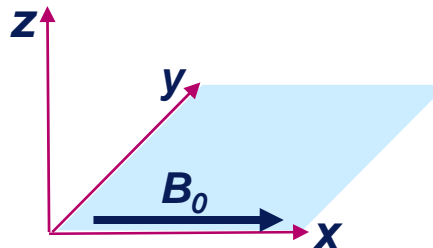
Small  $\delta n/n (> \max[(m/M)^{1/2}, k_z/k])$  leads to big change in whistler mode character



# Nonlinear Whistler Properties



- **Nonlinear quasi-electrostatic Lower Hybrid (LH) waves extensively studied**
  - Porkolab, 1974
  - Hasegawa and Chen, 1975
  - Shapiro, Shevchenko, Papadopoulos and Sagdeev, 1977-1993
- **Simulations based on EMHD equation (no density perturbation)**
  - D. Biskamp, E. Schwartz, and J. F. Drake, Phys. Rev. Lett. 76, 1264 (1996)
  - S. Dastgeer, A. Das, P. Kaw, and P. H. Diamond, Phys. Plasmas 7, 571 (2000)
- **2D PIC simulations**  $(\vec{k} \times \vec{\nabla} \delta n) \cdot \vec{B}_0 = 0$ 
  - D. Biskamp, E. Schwartz, and J. F. Drake, Phys. Rev. Lett. 76, 1264 (1996)
  - S. P. Gary, S. Saito, and H. Li, Geophys. Res. Lett., 35, L02104 (2008)
  - S. Saito, S. P. Gary, H. Li, and Y. Narita, Phys. Plasmas 15, 102305 (2008)





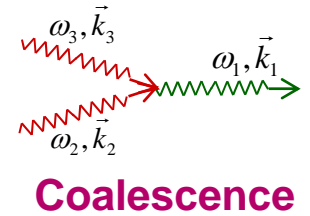
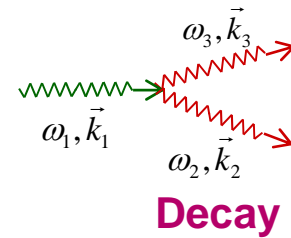
# Plasma Weak and Structural Turbulence



- Induced whistler wave scattering while radiating low frequency wave
  - Waves energy and momentum are conserved

$$\omega_1 = \omega_2 + \omega_3, \quad \vec{k}_1 = \vec{k}_2 + \vec{k}_3$$

$$\omega_1 \gg \omega_3 \quad (= LH / MS, \vec{k}c_s, l\Omega_i)$$

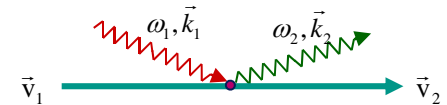


- Induced wave scattering by plasma particles

- Wave momentum need not be conserved if particles are magnetized (principal momentum conserved)

$$\Delta \vec{P}_\perp = (ne/c)\Delta \vec{A}_\perp + \Delta n \vec{v}_\perp = \left( mn v_e (\Delta \vec{r}_\perp / \rho_e) + \sum_k (\Delta \vec{k}_\perp) N_k \right) = 0$$

**Resonance Condition**  $v_{\parallel e} = \frac{\omega_1 - \omega_2}{k_{1\parallel} - k_{2\parallel}}$



**W-P interactions are less restrictive than W-W interactions**



# Waves Spectra Nonlinear Evolution

- Calculate nonlinear conversion of W/LH waves ( $E_1$ ) into LH/W waves ( $E_2$ )
  - Maxwell Equation,
  - Fluid equations for ion
  - Vlasov equation for electrons in drift approximation
- Whistler waves in a medium with slowly varying density perturbation induced by beat waves ( $\omega_1 - \omega_2$ ):

$$\nabla \cdot \vec{j} = n_0 \frac{\omega}{\Omega_e} \left( \frac{1 + \bar{k}^2}{\bar{k}^2} - \frac{\omega_{LH}^2}{\omega^2} - \frac{\Omega_e^2}{\omega^2} \frac{\bar{k}_z^2}{1 + \bar{k}_x^2} \right) \frac{c}{B_0} \nabla_{\perp} \cdot \vec{E}_{\perp}^{(1)} + \frac{c}{B_0} (\vec{E}^{(1)} \times \vec{\nabla} \delta n_e^{(2)}) \cdot \vec{b}_0 = 0$$

- 2nd order density perturbation due to pondermotive force along  $B_0$ .
  - Maxwell electrons and unmagnetized ions

$$\frac{\delta n_e^{(2)}}{n_0} = \left( -\frac{c}{B_0} (\vec{E}_{k_2} \times \vec{k}_1)_z \frac{\bar{k}_{1\perp}^2 e \varphi_{k_1}}{\omega_{k_1} (1 + \bar{k}_{1\perp}^2) T_e} (1 + \zeta Z(\zeta)) \right), \quad \zeta = \frac{\omega_{k_1} - \omega_{k_2}}{(k_{1z} - k_{2z}) v_{te}}$$

- Subsonic ion condition:  $(\omega_{k_1} - \omega_{k_2})^2 < (\bar{k}_{1\perp} - \bar{k}_{2\perp})^2 c_s^2$

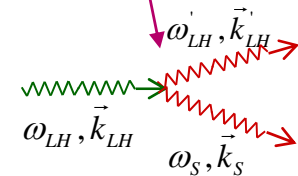




# Nonlinear Scattering : Short Wavelength

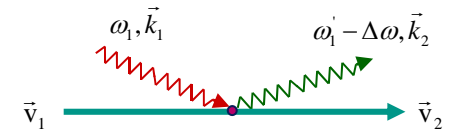
- For **narrow frequency band** ( $\delta\omega < \gamma_{NL}$ ) **Re Z** leads to **modulation instability**, NLS equation and collapse of localized LH 3D wave packets (if  $T_e \gg T_i$ )

$$i \frac{\partial E_{k_2}}{\partial t} \sim -E_{k_2} \omega_{LH} \frac{M}{m} \frac{W_{k_2}}{n_0 T_e} + E_{k_2} (\omega - \omega_{LH}) \quad W_k \equiv \frac{\omega_{pe}^2}{\Omega_e^2} \frac{|E_k|^2}{8\pi}$$



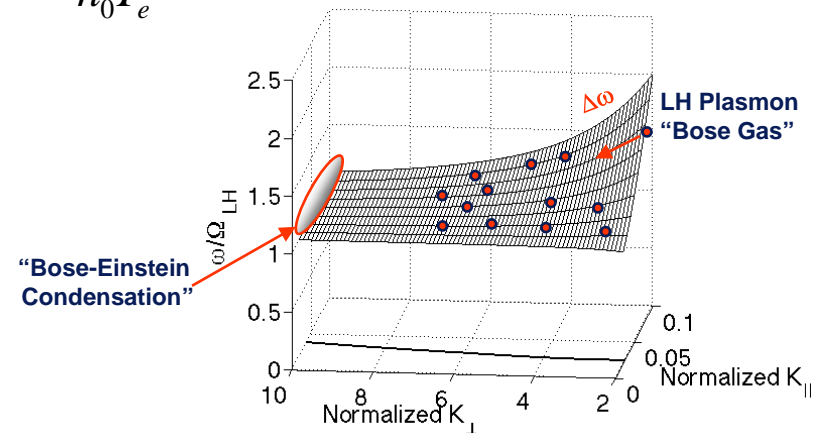
- For **broad frequency band** turbulence **Im Z** leads to nonlinear scattering by plasma electrons
  - Short wavelength electrostatic case discussed by Hasegawa and Chen, 1975

$$\gamma_{LH \rightarrow LH} \equiv \frac{\partial \ln W_{k_2}}{\partial t} = \omega_{LH} \frac{M}{m} \sum_{k_1} \frac{(\vec{k}_1 \times \vec{k}_2)_z^2}{k_{1\perp}^2 k_{2\perp}^2} \zeta \text{Im} Z(\zeta) \frac{W_{k_1}}{n_0 T_e}$$



- **Frequency decreases while wave scatters**

$$\Delta\omega \sim \min \left\{ k_{1z} - k_{2z} | v_{te}, | \vec{k}_{1\perp} - \vec{k}_{2\perp} | c_s \right\}$$





# Nonlinear Scattering : Long Wavelength Generalization



- Generalization of Hasegawa and Chen
  - Long wavelength electromagnetic regime

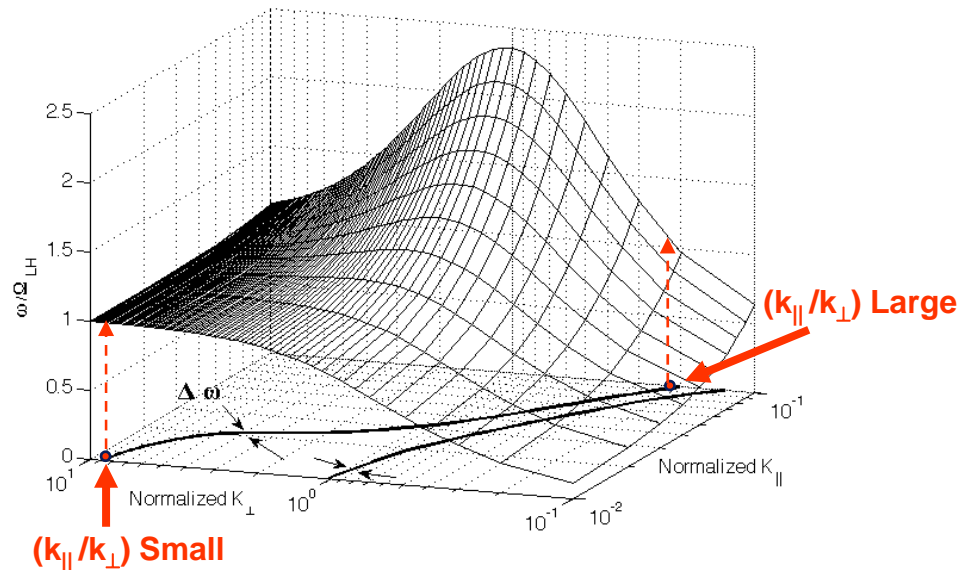
$$\gamma_{NL} = \frac{dN_{k2}}{N_{k2} dt} \sim \Omega_e^2 \frac{\bar{k}_{2\perp}^2}{1 + \bar{k}_{2\perp}^2} \sum_{k1} \frac{(\bar{k}_1 \times \bar{k}_2)_z^2}{k_{1\perp}^2 k_{2\perp}^2} \frac{\bar{k}_{1\perp}^2}{1 + \bar{k}_{1\perp}^2} \zeta \text{Im} Z(\zeta) \frac{N_{k1}}{n_0 T_e} \quad N_k = W_k / \omega_k$$

- Scattering rate decreases frequency slightly and conserves “plasmons” N

$$\Delta\omega / \omega_{LH} < |\bar{k}_{1\perp} - \bar{k}_{2\perp}| \beta_e^{1/2}$$

- Wave-particle resonance can be easily met for any combinations of  $(k_{\parallel}, k_{\perp})$  in a thin slot in which  $\omega \sim \text{const.}$

$$\omega^2 = \left( \frac{\bar{k}_{\parallel}^2}{(1 + \bar{k}_{\perp}^2)} + \frac{m_e}{m_i} \right) \frac{\bar{k}^2 \Omega_e^2}{1 + \bar{k}^2}$$

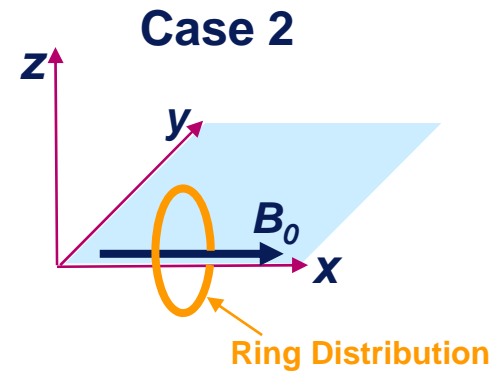
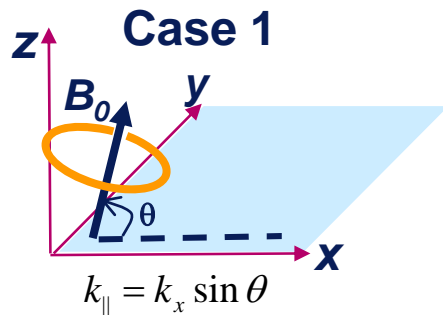


Short wavelength can scatter into long wavelength and vice-versa:  $\gamma_{NL}$  largest for  $k_1 \perp k_2$



# Electromagnetic 2D-3V PIC Simulation

- Simulation box (X-Y) 512 x 256, equals 51.2 and 25.6 electron inertial lengths
- Magnetic field in (X-Z) plane with inclination  $b_x = B_x/B_0$



- Simulation parameters

$$m_i = 100m_e, \quad \omega_{pe}^2 / \Omega_e^2 = 5, \quad v_{te} = 0.14c, \quad \beta_e = 0.1, \quad T_e = T_i$$

- Whistlers self-consistently generated by “heavy ring electrons”

$$n_r / n_e = 0.25;$$

$$V_r / c = 0.2$$

$$m_r / m_e = 3 \text{ \& } 10$$



# Instability Generation In Simulation

- **Hydro:** Whistlers generated by ring beam for  $\Omega_e > \omega > \omega_{LH}$   
[Ganguli et al., JGR, 2007]

– Large  $k_{\perp} V_r / \Omega_r > 1$  necessary

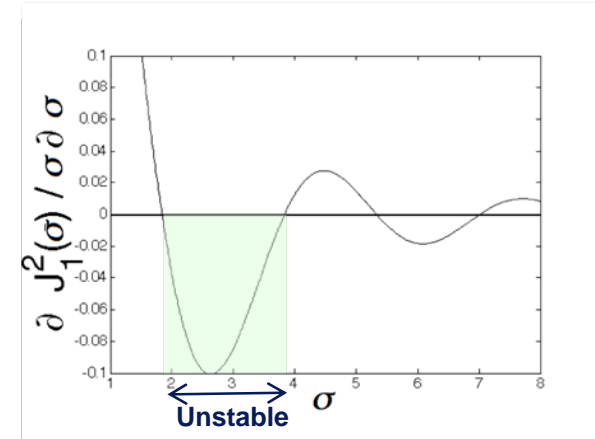
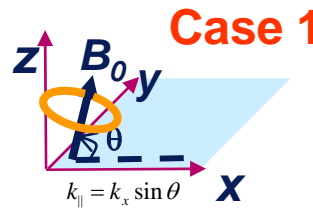
$$\omega = l\Omega_r$$

$$\frac{\gamma}{l\Omega_r} = \frac{1}{2} \sqrt{\frac{n_r m_r}{n_e m_e} \left| \frac{dJ_l^2(\sigma_r)}{\sigma_r d\sigma_r} \right| \frac{b_e}{\Gamma_l(b_e)} \left( \frac{\Omega_e^2 - l^2 \Omega_r^2}{\Omega_e^2} \right)^2 \frac{\bar{k}^2}{1 + \bar{k}^2}}$$

– For the simulation parameters and for  $l = 1$

$$\sigma_r = k_{\perp} V_r / \Omega_r = 0.45 \bar{k}_{\perp} (m_r / m_e)$$

$$b_e = (k_{\perp} \rho_e)^2 / 2 \ll 1 \Rightarrow b_e / \Gamma_1(b_e) \sim 2$$



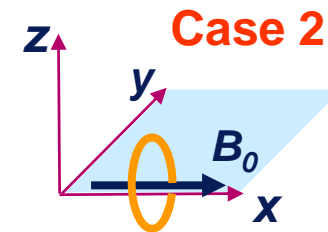
- **Kinetic:** Whistlers generated by temperature anisotropy  
[Kennel and Petschek, JGR, 1966]

– Small  $k_{\perp} V_r / \Omega_r < 1$  necessary

$$\frac{\omega}{\Omega_r} = 1 - \frac{1}{\kappa^2}$$

$$\frac{\gamma}{\Omega_r} = \sqrt{\pi} \frac{(\theta - \kappa^2)}{\theta^{-1/2} \beta_{\perp}^{1/2} |\kappa|^7} \exp\left(-\frac{1}{\theta^{-1} \beta_{\perp} \kappa^6}\right)$$

$$\kappa = k_{\parallel} c / \omega_{pr} \quad \theta = m_r V_r^2 / 2T_{\parallel r} \quad \beta_{\perp} = \frac{4\pi n_r m_r V_r^2}{B_0^2} \quad \frac{\gamma_{\max}}{\Omega_r} \sim \sqrt{\beta_{\perp}} \theta$$

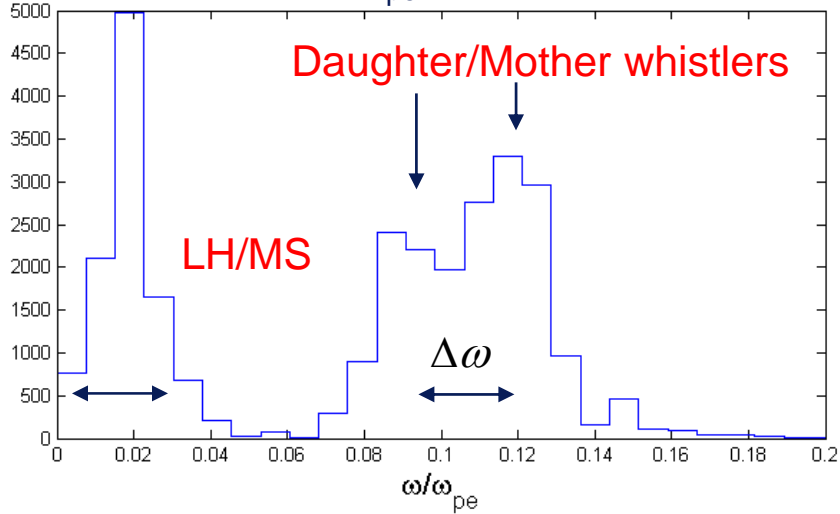




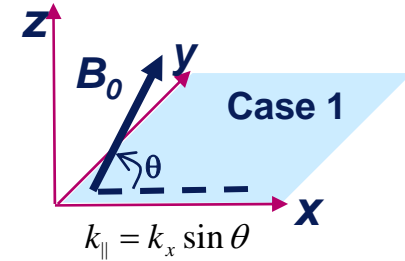
# Simulation Results 1: Evidence Of Wave-Wave Interaction



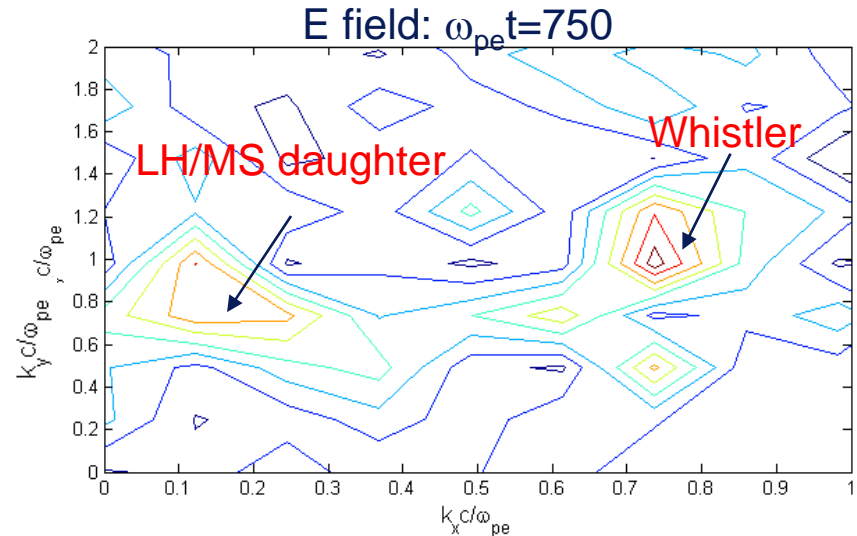
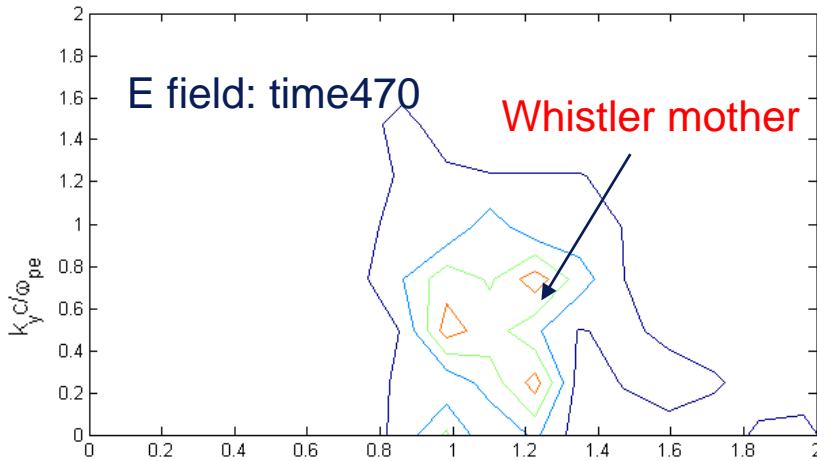
B field:  $\omega_{pe}t$  (0-830)



$m_r = 3m_e \quad b_x = 1/2 \quad (\theta = 60^\circ)$



$\omega \sim 0.15\omega_{pe} \sim 3.3\omega_{LH}$

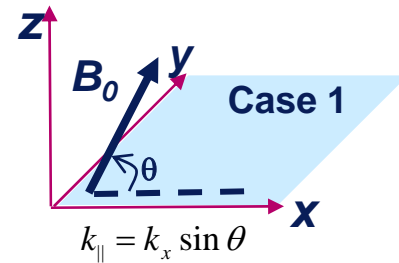


**Whistler  $\omega_M = 3.3\omega_{LH}$  scatters radiating LH/MS wave  $\omega_D \cong 0.5\omega_{LH}$**

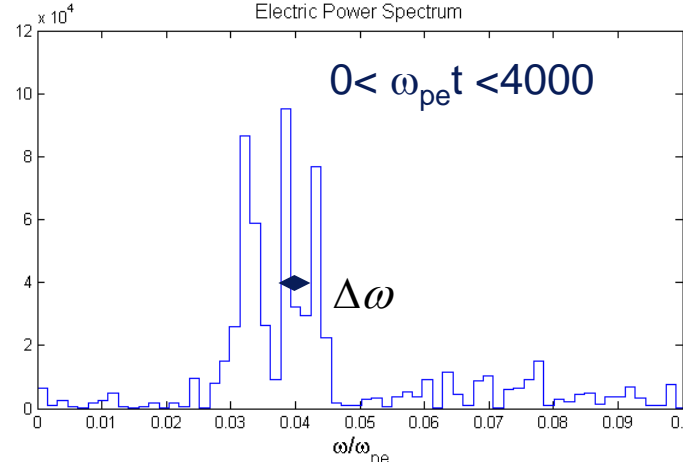
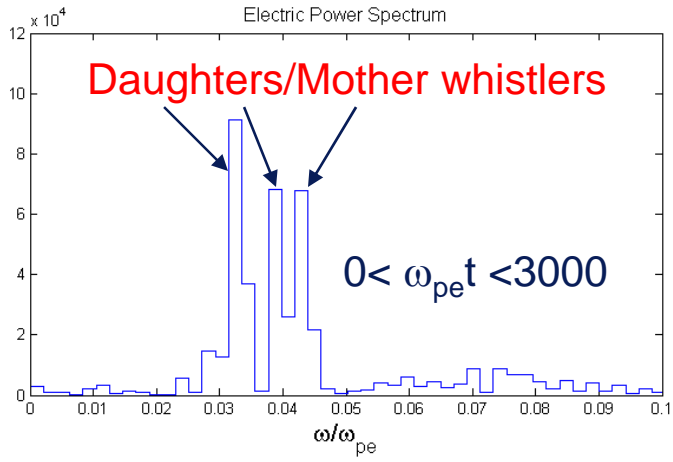
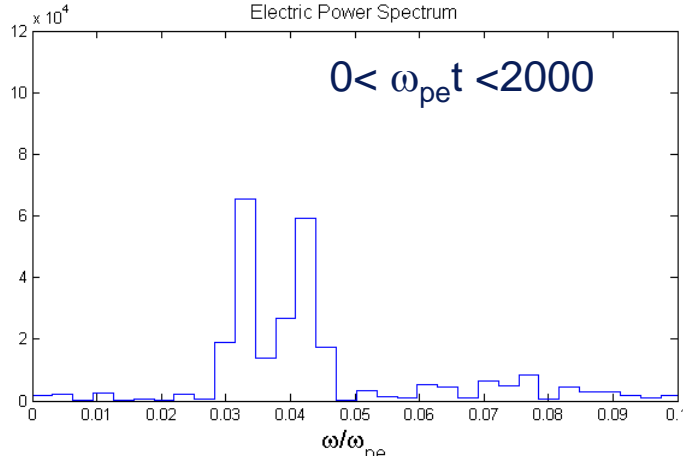
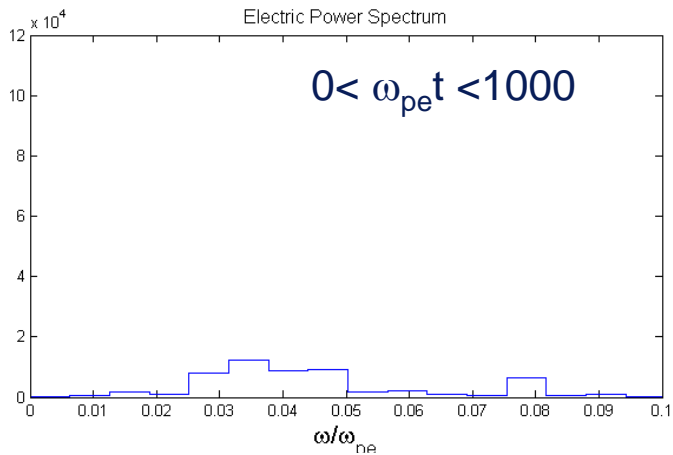


# Simulation Results 2: Evidence Of Wave – Particle Interaction

$m_r = 10m_e, b_x=1/5 (\theta = 78^\circ)$



$\omega \sim 0.044\omega_{pe} \sim \omega_{LH}$

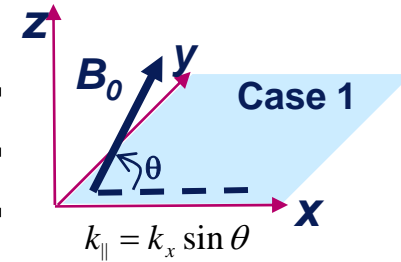
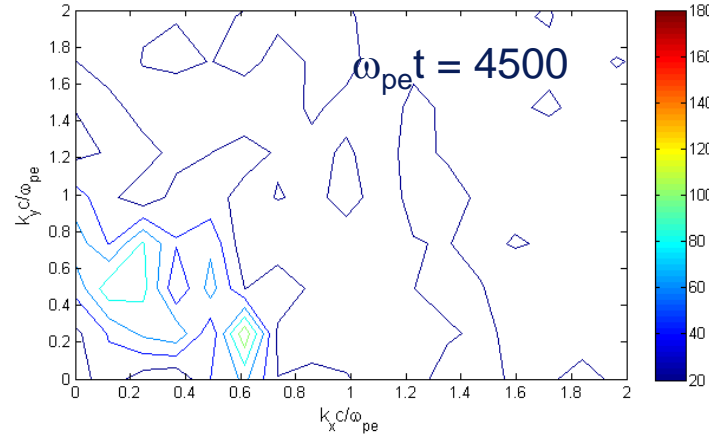
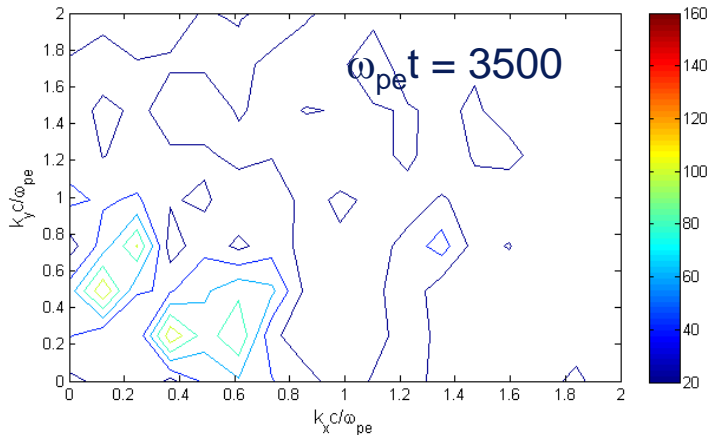
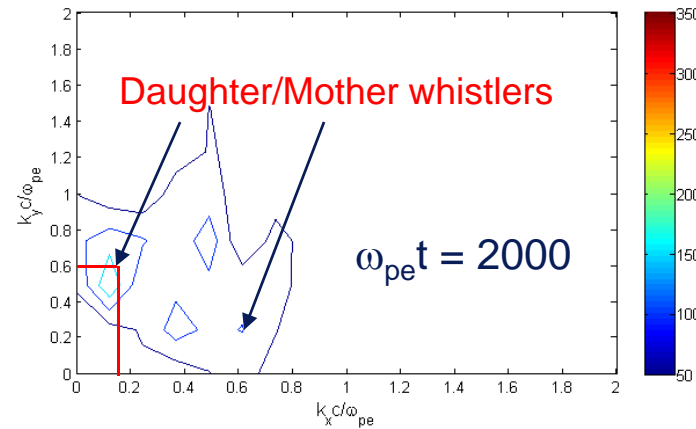
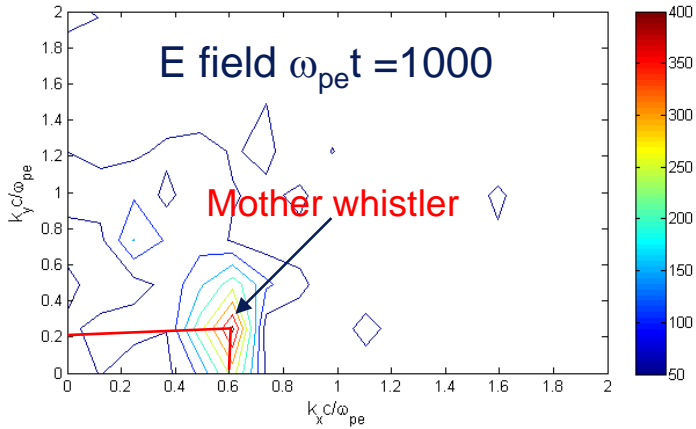


Whistler scatters radiating daughter waves  $\Delta\omega/\omega_{LH} < \Delta k_{\perp}c/\omega_{pe} \beta^{1/2} < 0.2$ .  
No third low frequency wave to satisfy 3 wave decay condition.



# Simulation Results 3: Evidence Of Large Angle Scattering

$m_r = 10m_e, b_x = 1/5 (\theta = 78^\circ)$



$$\gamma_{NL} \propto (\vec{k}_1 \times \vec{k}_2)_z^2$$

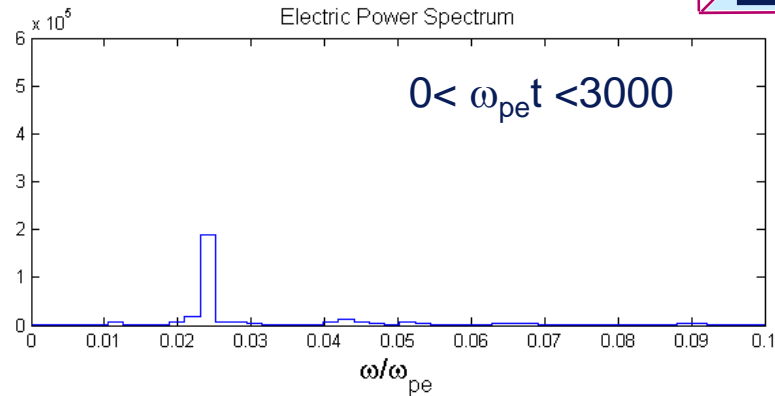
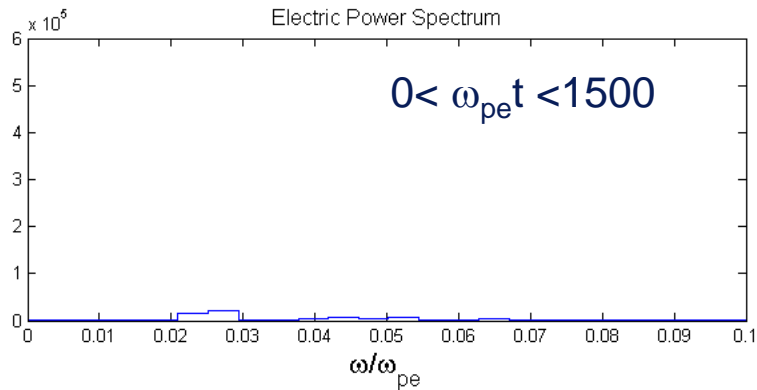
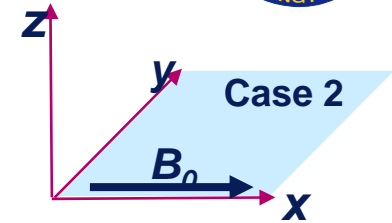
Whistler radiates daughter waves with large angle rotation for which  $\gamma_{NL}$  is large



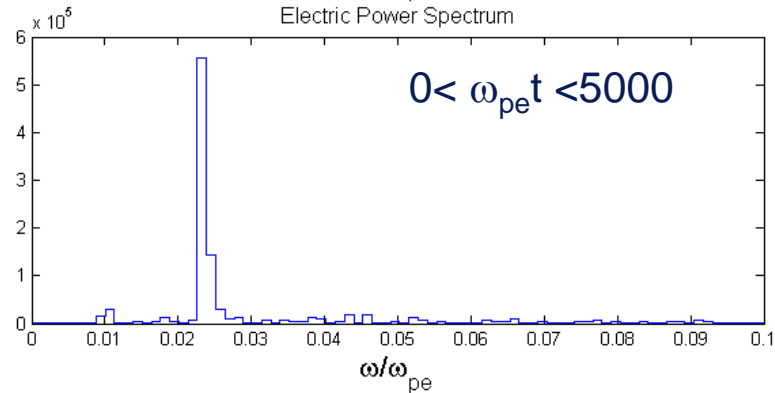
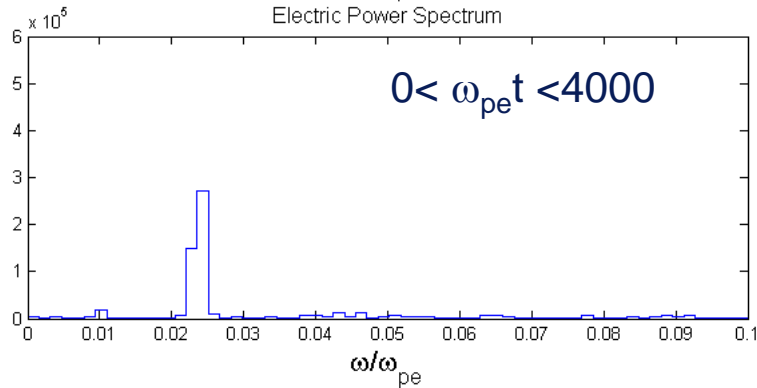
# Simulation Results 4: No Evidence Of Nonlinear Scattering



$$m_r = 10m_e, b_x = 1 (\theta = 0^\circ)$$



$$\frac{\omega}{\omega_{pe}} \sim 0.017 - 0.03$$



No nonlinear scattering on this time scale contrary to the  $b_x = 0.2$  case

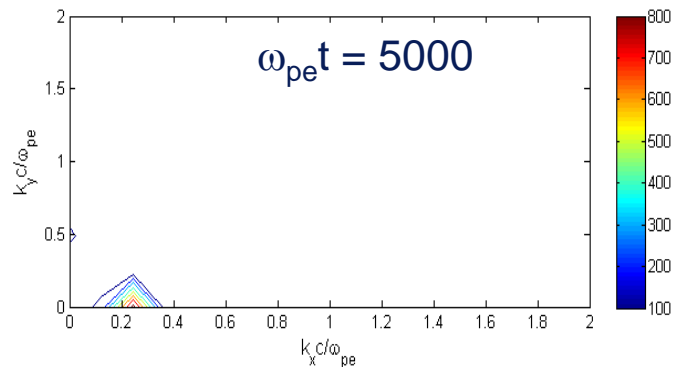
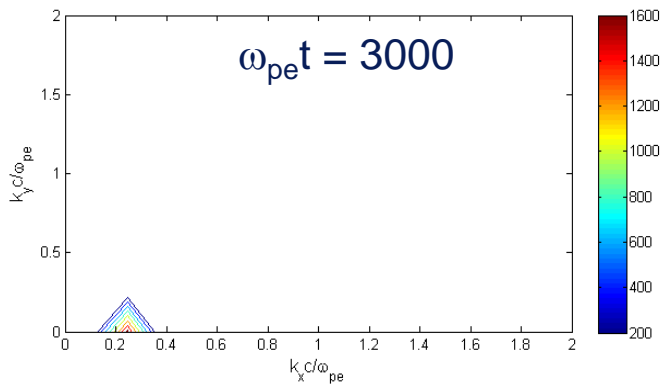
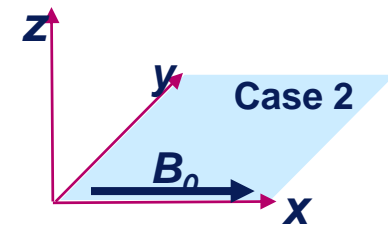
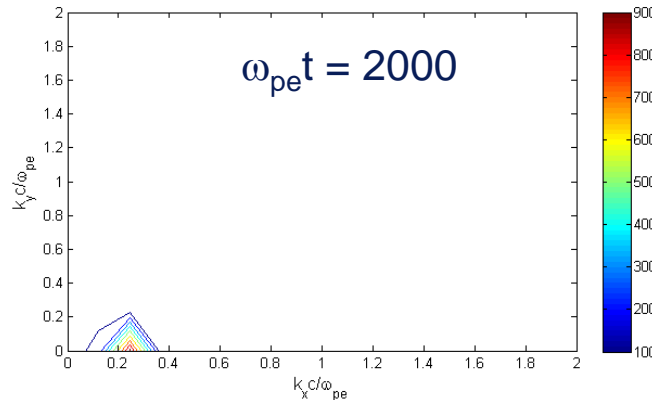
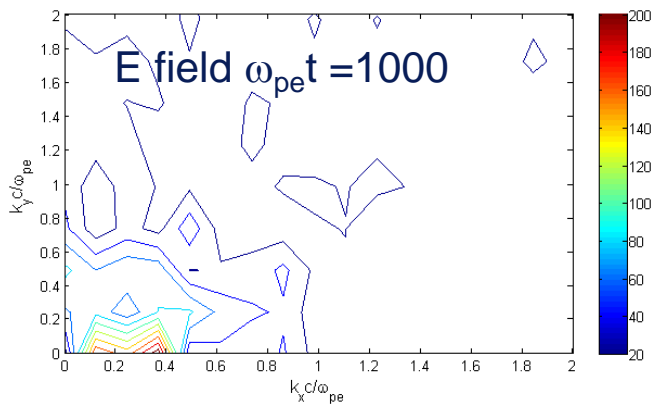




# Simulation Results 5: Whistlers Born With & Maintain Large $k_{\parallel}/k_{\perp}$



$m_r = 10m_e, b_x=1 (\theta = 0^\circ)$



Only whistler with small  $k_{\perp}/k_{\parallel}$  arise. No nonlinear scattering.



# Conclusion



- **Electromagnetic PIC simulations show that evolution of whistler turbulence is dominated by nonlinear ponderomotive force**
- **The ponderomotive force leads to higher (second) order density perturbation**
- **The density perturbation significantly changes the whistler evolution**
  - **Extends the instability relaxation time by orders of magnitude**
  - **Introduces an essentially 3 dimensional character**
  - **Nonlinear scattering (wave-wave and wave-particle) dominate the nonlinear phase**
- **Wave-particle interactions convert short wavelength quasi-em waves into long wavelength em waves and vice-versa**
  - **Large changes in wavelength possible because wave momentum need not be conserved**