

# KINETIC FORMULATION OF TRANSPORT OF CHARGED PARTICLES INTERACTING WITH ELECTROMAGNETIC WAVES IN MAGNETIZED PLASMAS

---



**Abhay K. Ram**

*Plasma Science and Fusion Center  
Massachusetts Institute of Technology  
Cambridge, MA 02139. U.S.A.*



**Yannis Kominis, Kyriakos Hizanidis**

*National Technical University of Athens  
Association EURATOM-Hellenic Republic  
Zografou, Athens 15773, Greece.*

**Modern Challenges in Nonlinear  
Plasma Physics  
Conference Honoring the Career of  
Dennis Papadopoulos  
June 15 - 19, 2009  
Halkidiki, Greece**

STOCHASTIC ACCELERATION OF LARGE M/Q IONS BY HYDROGEN  
CYCLOTRON WAVES IN THE MAGNETOSPHERE

K. Papadopoulos<sup>\*</sup>

Science Applications, Inc., McLean, Virginia 22102

J. D. Gaffey, Jr. and P. J. Palmadesso

Geophysical & Plasma Dynamics Branch, Plasma Physics Division, Naval Research Laboratory  
Washington, D. C. 20375

Abstract. It is shown that in hydrogen dominated multi-ion plasmas supporting coherent hydrogen cyclotron waves, the minority ion species with large M/Q are preferentially accelerated and the maximum energy achieved scales as  $(M/M_H^+)^{5/3}$ . The importance of this scaling to  $O^+$  acceleration in the auroral zones and to other high energy heavy ion observations in the earth's and Jupiter's magnetospheres is discussed.

# STANDARD (CHIRIKOV-TAYLOR) MAP

---

Interaction of a charged particle with  
an infinite set of plane waves

$$\frac{dx}{dt} = v$$

$$\frac{dv}{dt} = \frac{qE}{m} \sum_{n=-\infty}^{\infty} \sin(kx - n\omega t)$$

# STANDARD MAPPING EQUATIONS

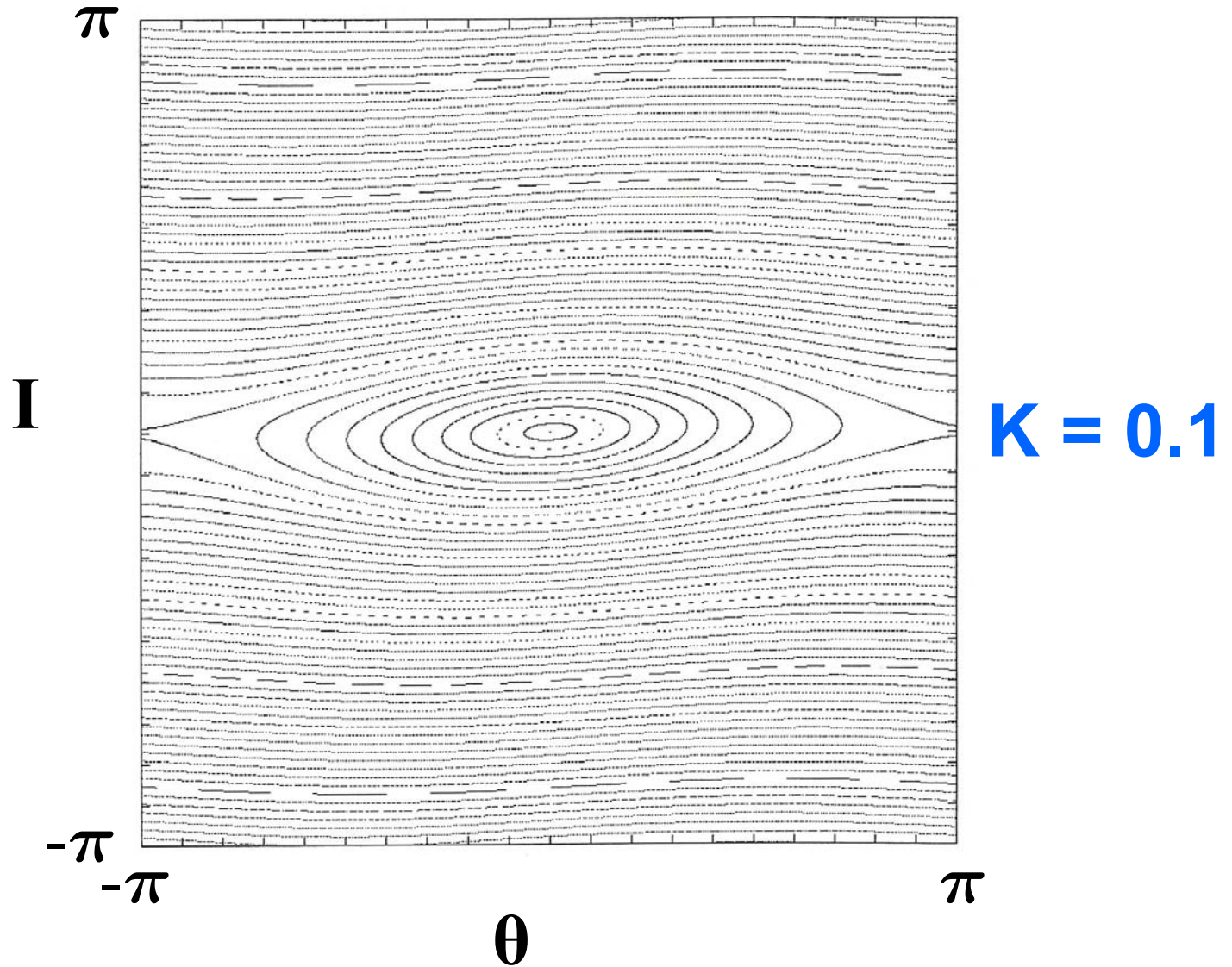
The change in particle velocity and wave phase after every time step  $T = 2\pi/\omega$  is

$$I_{n+1} = I_n + K \sin \theta_n \quad \text{mod } 2\pi$$

$$\theta_{n+1} = \theta_n + I_{n+1} \quad \text{mod } 2\pi$$

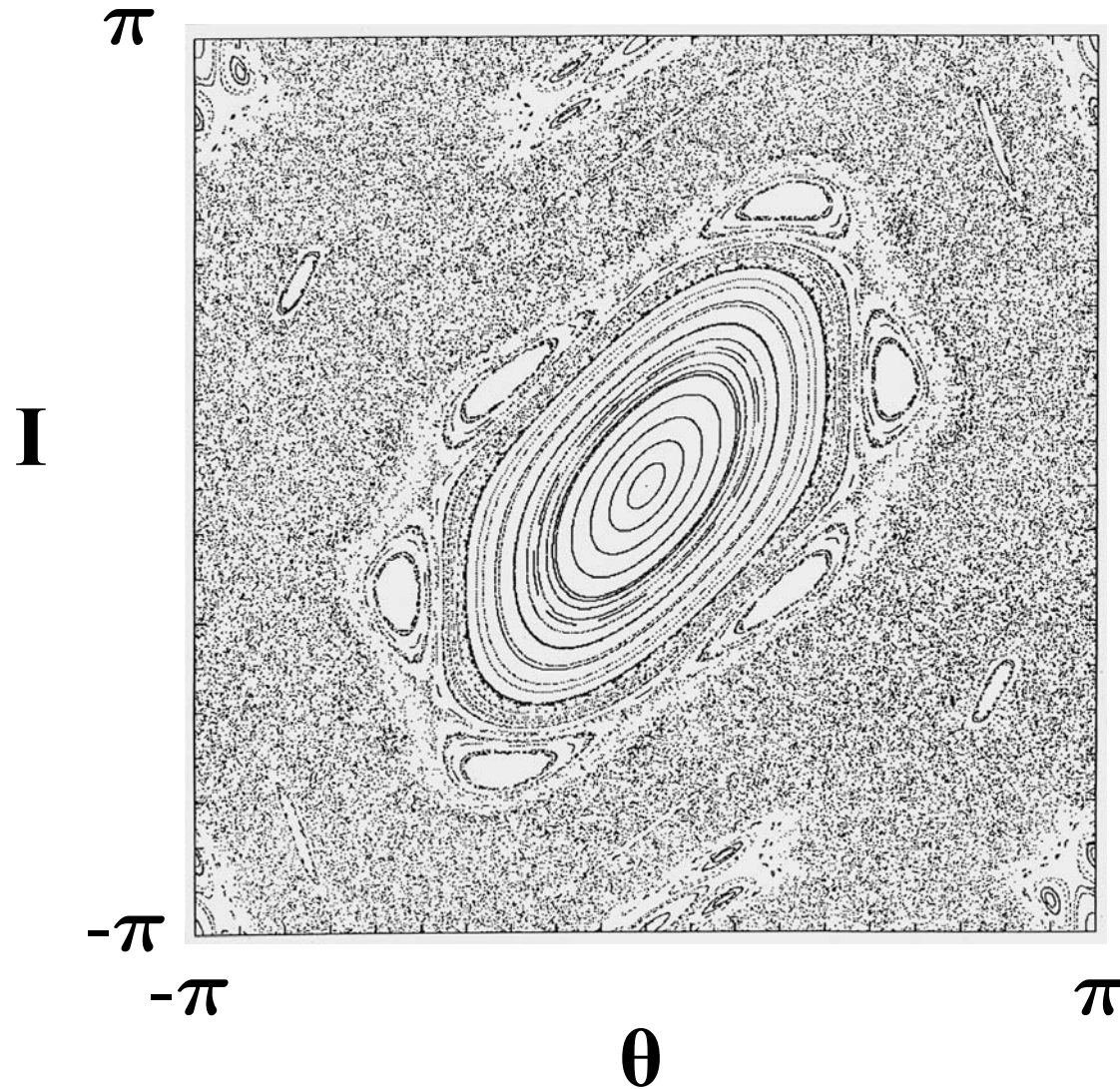
where  $kx = \theta$ ,  $kTv = I$ ,  $\left(\frac{2\pi}{\omega}\right)^2 \frac{qkE}{m} = K$ .

# SURFACE-OF-SECTION PLOT



# SURFACE-OF-SECTION PLOT

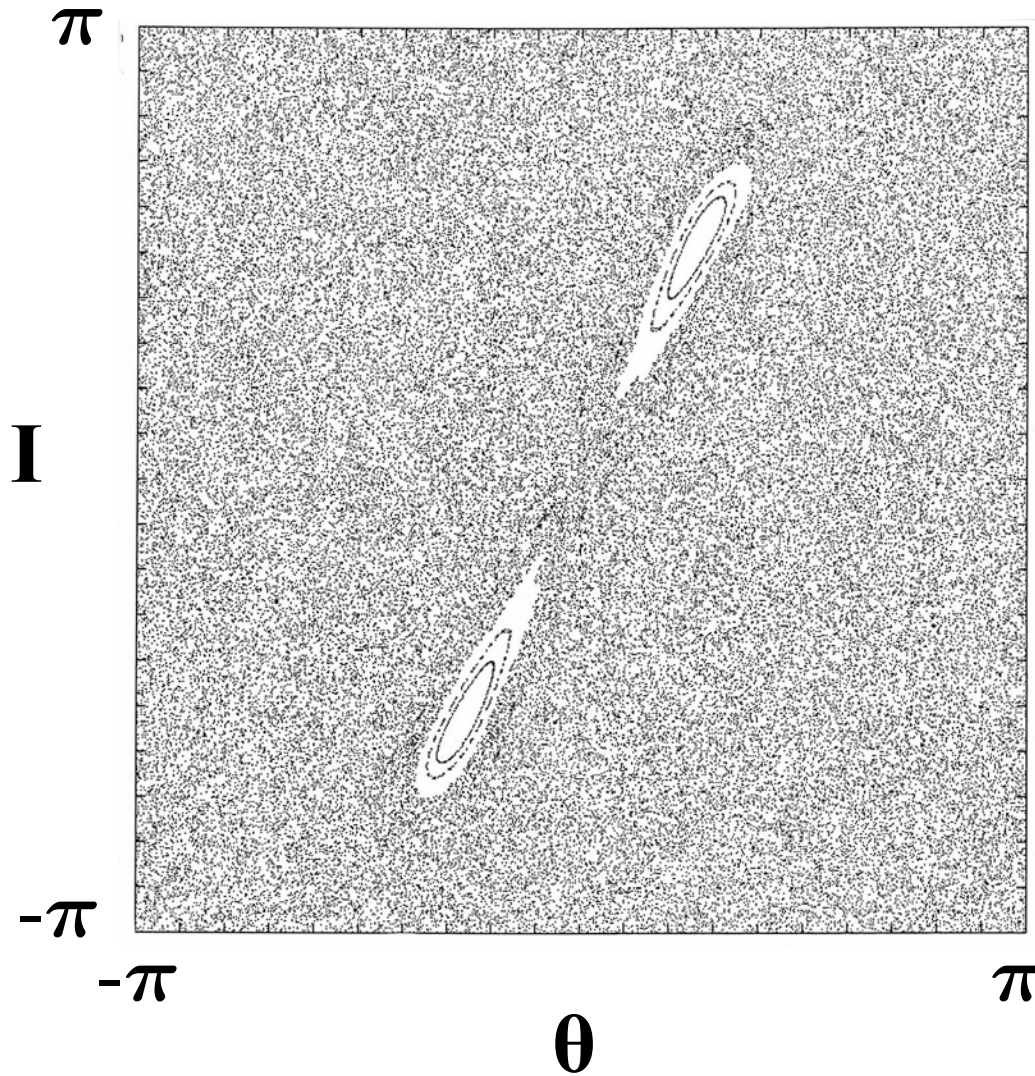
---



$K = 1.5$

# SURFACE-OF-SECTION PLOT

---



$K = 4.5$

# EVOLUTION OF A DISTRIBUTION FUNCTION

---

Fick's second law, or Fokker-Planck equation

$$\frac{\partial f(I)}{\partial n} = \frac{\partial}{\partial I} \left( D(I) \frac{\partial}{\partial I} f(I) \right)$$

$f(I)$  is the distribution function

$D(I)$  is the diffusion coefficient

What is to be substituted for  $n$  and  $D(I)$  ?



# DIFFUSION IN VELOCITY SPACE

## Evaluation of the diffusion coefficient

- Single step jump in velocity ( $I_0 \rightarrow I_1$ )
  - Markovian assumption;
  - random walk (or Brownian motion).
- Multiple step jump in velocity ( $I_0 \rightarrow I_n$ )
  - $n \gg n_c$
  - $n_c$  is the number of steps for phase randomization.

# DIFFUSION IN VELOCITY SPACE

---

Quasilinear diffusion coefficient (**n=1**)

$$D_{QL} = \frac{\langle (\Delta I_1)^2 \rangle_{\theta_0}}{2}$$
$$= \frac{1}{4\pi} \int_0^{2\pi} d\theta_0 (I_1 - I_0)^2 = \frac{K^2}{4}$$

➤ Independent of  $I$

Define the correlation function:

$$C_n = \langle (I_n^p - I_{n-1}^p) (I_1^p - I_0^p) \rangle_p$$

where  $\langle \dots \rangle_p$  is an ensemble average for a set of randomly distributed particles.

The correlation “time”  $n_c$  is such that

$$\text{for } n > n_c, C_n \approx 0.$$

# DIFFUSION IN VELOCITY SPACE

---

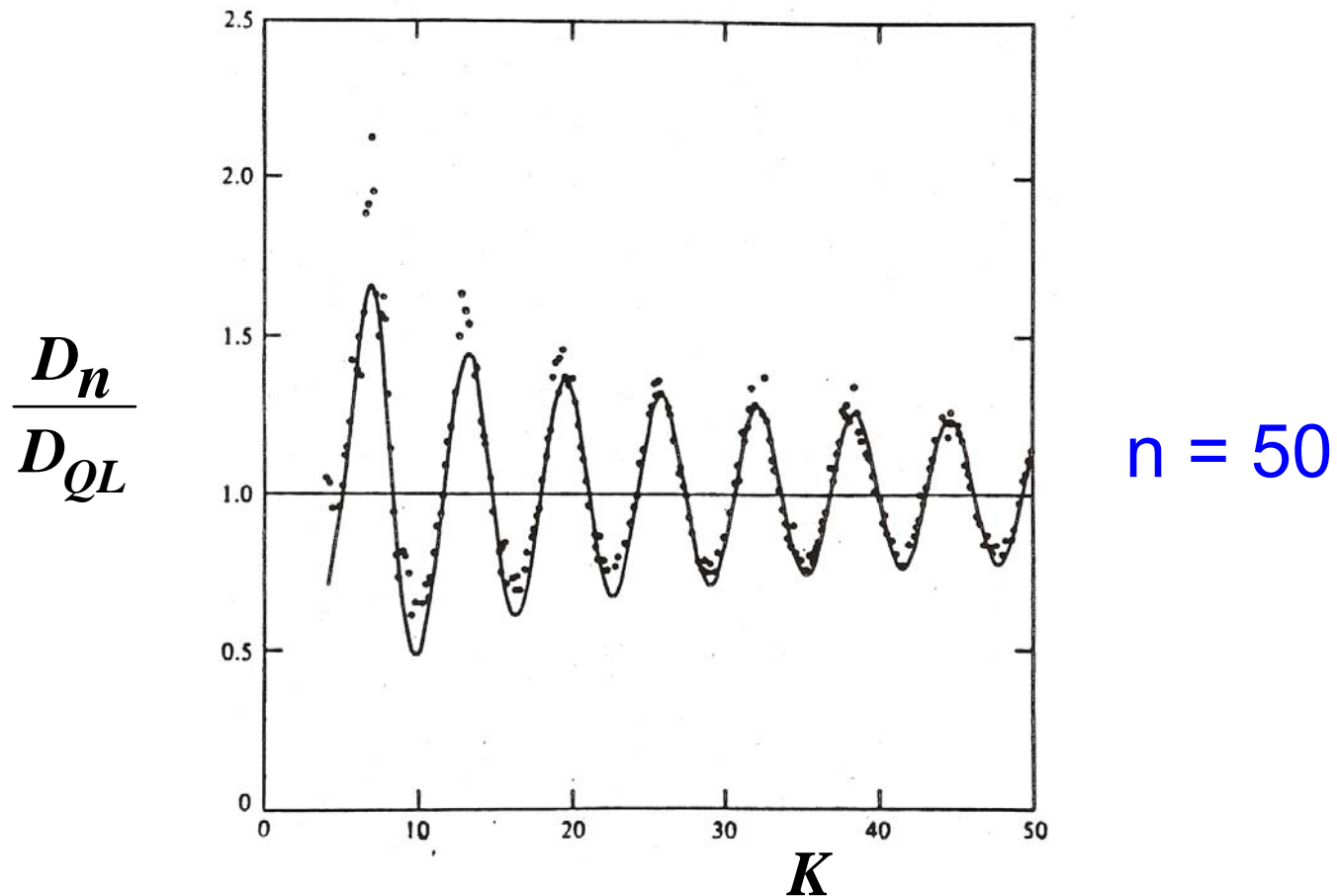
Diffusion coefficient for  $n > n_c$ :

$$D_n = \lim_{n > n_c} \frac{\langle (I_n - I_0)^2 \rangle}{2n}$$

$\langle \dots \rangle$  is the ensemble average.

$$\frac{\partial f(I)}{\partial n} = \frac{\partial}{\partial I} \left( D_n(I) \frac{\partial}{\partial I} f(I) \right)$$

# DIFFUSION COEFFICIENT FOR THE STANDARD MAP



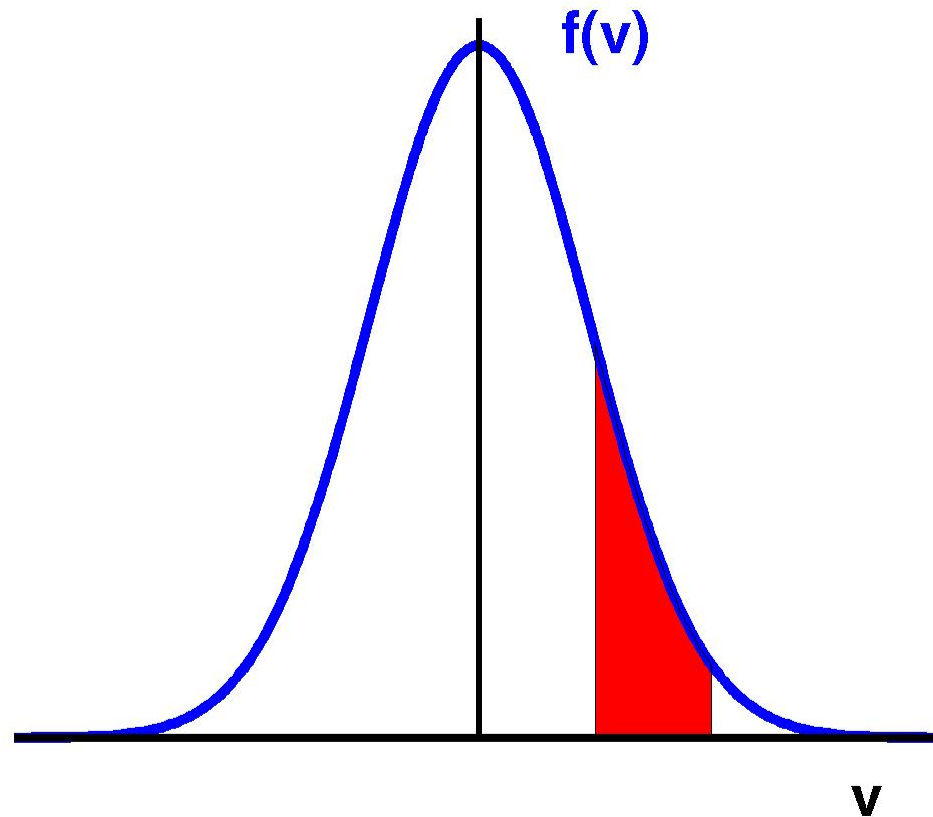
➤ **D is independent of I**

Rechester & White, *Phys. Rev. Lett.* **44**, 1586 (1980)

# MODIFICATION TO THE DISTRIBUTION FUNCTION

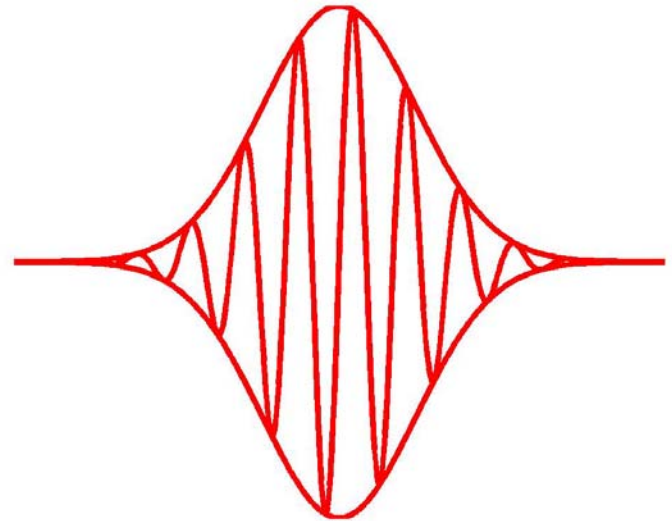
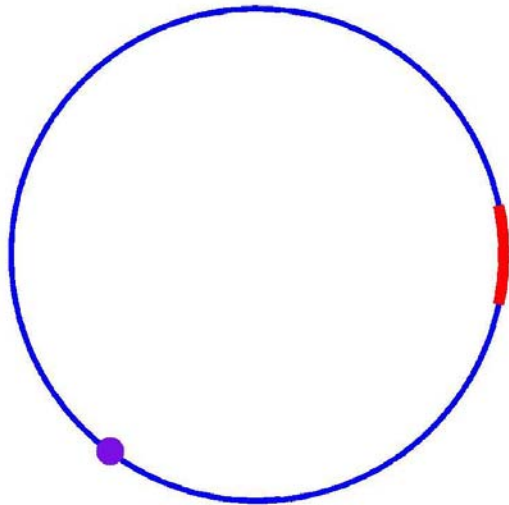
---

- In the standard map, the entire particle distribution function is affected.

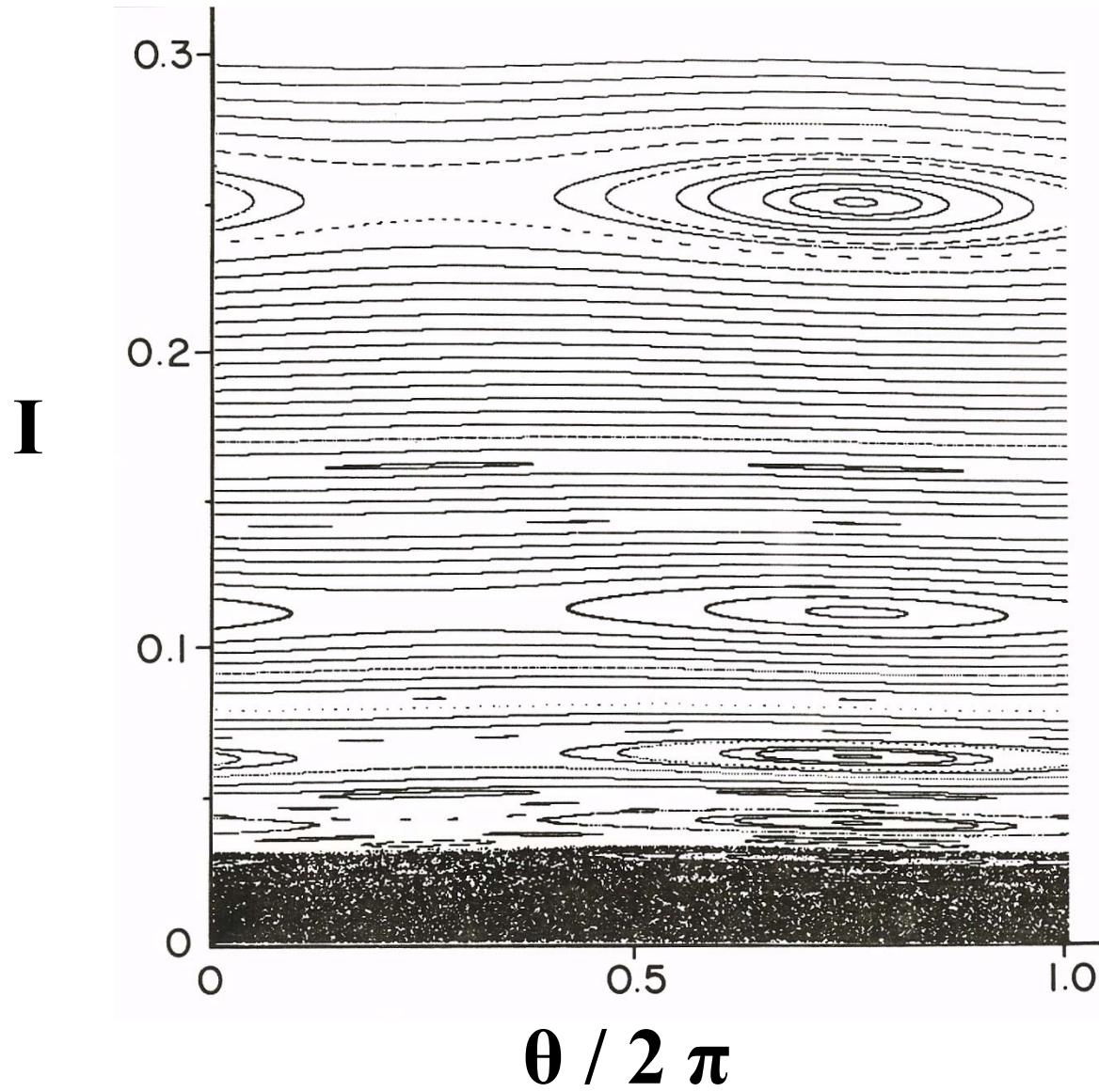


# PARTICLE INTERACTION WITH A SPATIALLY LOCALIZED FIELD

---

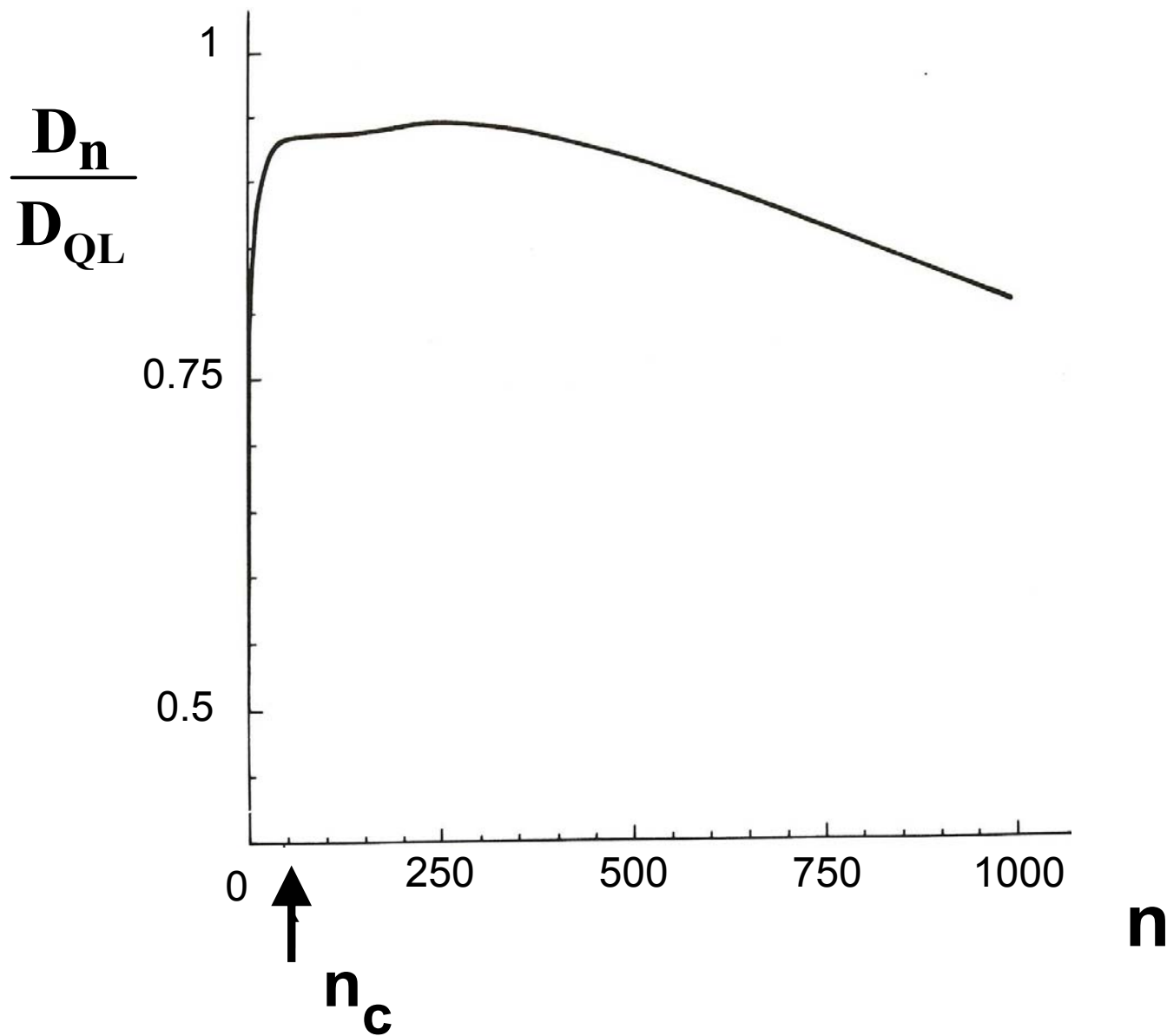


# SURFACE-OF-SECTION PLOT

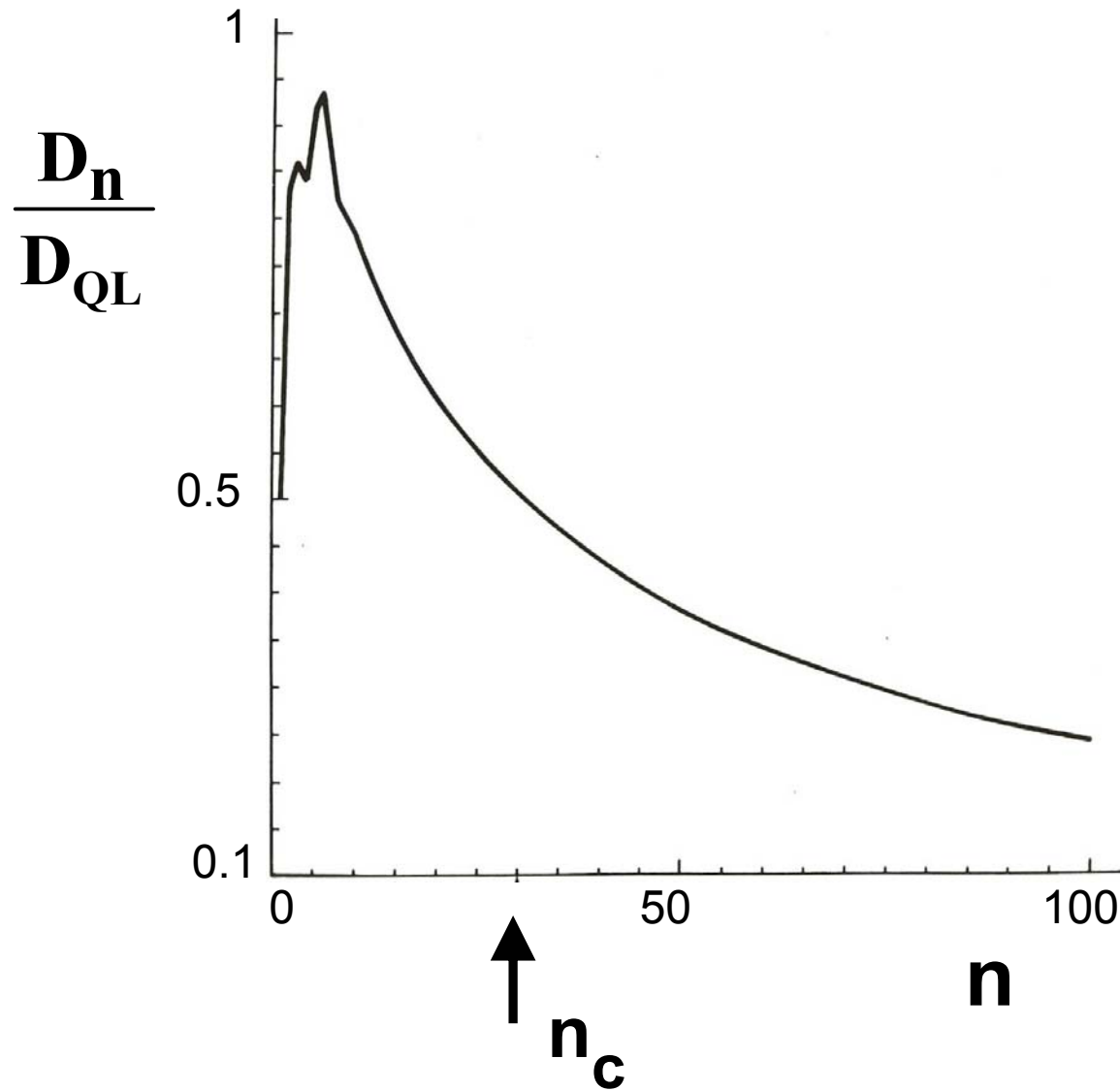




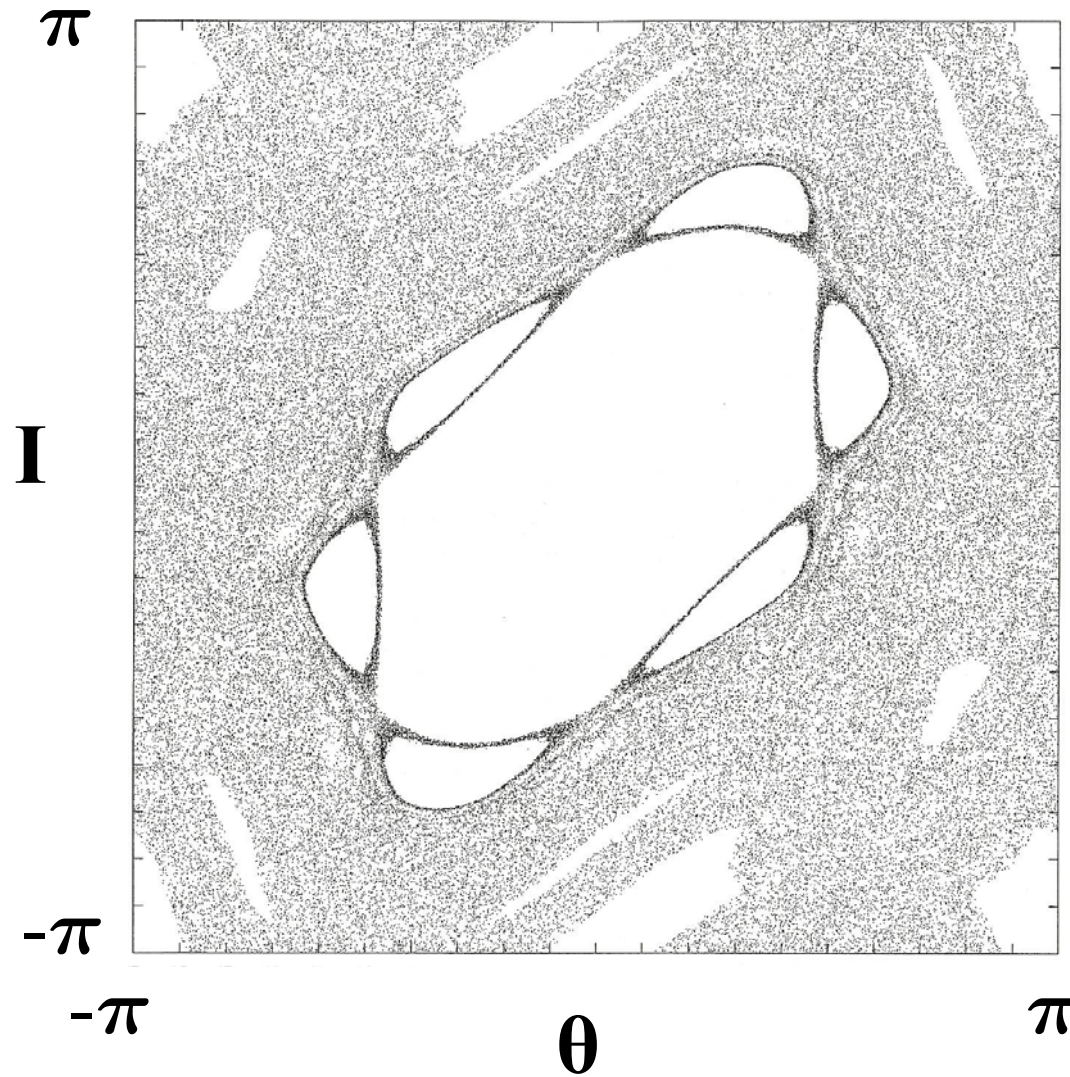
# DIFFUSION COEFFICIENT FOR LOCALIZED CHAOS



# DIFFUSION COEFFICIENT FOR LOCALIZED CHAOS



# STICKINESS OF ORBITS



$K = 1.5$

# PREVIOUS APPROACHES TO QUASILINEAR DIFFUSION EQUATION

---

- Linearize the Vlasov equation and obtain an equation for the perturbed distribution function.
- Assume that the underlying particle dynamics is chaotic
  - Brownian motion (random walk);
  - no structure to phase space.
- Long time evolution is the same as for short times
  - allows the limit ( $t \rightarrow \infty$ ) in evaluating D.
- Obtain time-independent, singular diffusion operator

$$\delta(\omega - n\omega_c - k_{\parallel}v_t)$$

A different approach is needed to describe the evolution of a distribution function of particles interacting with plasma waves.

# LIE PERTURBATION SERIES METHOD

---

Hamiltonian approach to particle dynamics and wave particle interactions:

$$H(\mathbf{J}, \boldsymbol{\theta}) = H_0(\mathbf{J}) + \epsilon H_1(\mathbf{J}, \boldsymbol{\theta}, t)$$

$H_0(\mathbf{J})$  describes the motion of the particle in the absence of plasma waves.

$H_1(\mathbf{J}, \boldsymbol{\theta}, t)$  includes the interaction with waves.

# LIE PERTURBATION SERIES METHOD

There exists an operator  $\mathbf{O}_L$  (Lie operator) such that

$$\mathbf{O}_L : (\mathbf{J}, \boldsymbol{\theta})_t \rightarrow (\mathbf{J}, \boldsymbol{\theta})_{t+\Delta t}$$

An advantage of the Lie operator is that

$$\mathbf{O}_L^{-1} f(\mathbf{J}, \boldsymbol{\theta}) = f(\mathbf{O}_L \{\mathbf{J}, \boldsymbol{\theta}\})$$

# EVOLUTION EQUATION FOR THE DISTRIBUTION FUNCTION

---

$$f(\mathbf{J}, \boldsymbol{\theta})_{t+\Delta t} - f(\mathbf{J}, \boldsymbol{\theta})_t = (\mathbf{O}_L^{-1} - \mathbf{I}) \cdot f(\mathbf{J}, \boldsymbol{\theta})_t$$

Dividing by  $\Delta t$  and taking the limit  $\Delta t \rightarrow 0$

$$\frac{\partial}{\partial t} f(\mathbf{J}, \boldsymbol{\theta}, t) = \left[ \frac{\partial}{\partial t} (\mathbf{O}_L^{-1} - \mathbf{I}) \right] \cdot f(\mathbf{J}, \boldsymbol{\theta}, t)$$



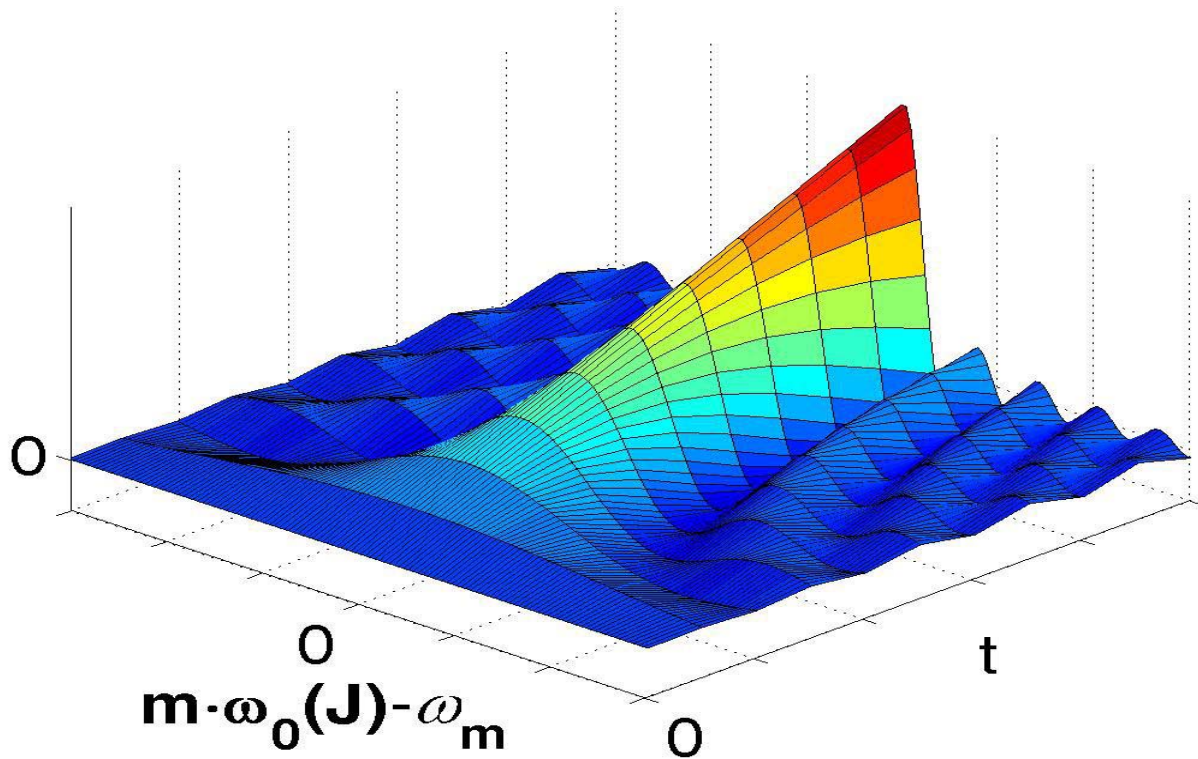
# EVOLUTION EQUATION FOR THE DISTRIBUTION FUNCTION

---

By averaging over the angles  $\theta$

$$\frac{\partial}{\partial t} f(\mathbf{J}, t) = \left[ \frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{D}(\mathbf{J}, t) \cdot \frac{\partial}{\partial \mathbf{J}} \right] f(\mathbf{J}, t)$$

# EVOLUTION OF THE DIFFUSION COEFFICIENT



$$\lim_{t \rightarrow \infty} \mathbf{D}(\mathbf{J}, t) \rightarrow \delta(m \cdot \omega_0 - \omega_m)$$

# CONCLUSIONS

- Dynamical studies of wave-particle interactions show a mixed phase space.
- The evolution of a distribution function requires proper accounting of this phase space.
- The Markovian assumption for evaluating the diffusion coefficient is invalid.
- Recent studies provide a detailed description for the evolution of the distribution function due to wave-particle interactions.